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Attorney's Docket No.: 20750-042US1 / 064.US2.PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Beverly W. Burbaum et al. Art Unit : Unknown
Serial No. : 10/560,953 ✓ Examiner : Unknown
Filed : December 15, 2005
Title : PROCESSES FOR PREPARING 3-BENZAZEPINES

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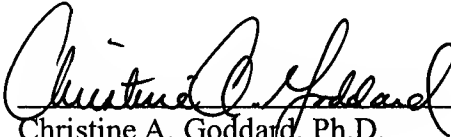
INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

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Respectfully submitted,

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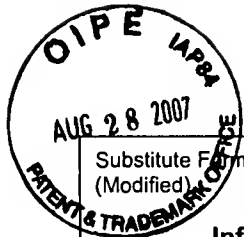
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U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US 3652543	03/28/72	Hoegerle et al.			
	AB	US 3716639	02/13/73	Hoegerle et al.			
	AC	US 4108989	08/22/78	Holden			
	AD	US 4111957	09/05/78	Holden et al.			
	AE	US 4233217	11/11/80	Shetty			
	AF	US 4584293	04/22/86	Reiffen et al.			
	AG	US 4737495	04/12/88	Bomhard et al.			
	AH	US 4957914	09/18/90	Clark et al.			
	AI	US 4988690	01/29/91	Effland et al.			
	AJ	US 5015639	05/14/91	Berger et al.			
	AK	US 5750520	05/12/98	Danilewicz et al.			
	AL	US 5861393	01/19/99	Danilewicz et al.			
	AM	US 6953787 B2	10/11/05	Smith et al.			
	AN	60/479,280	Non-Applicable	Smith et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AO	DE 1944121	03/19/70	Germany				
	AP	GB 1196229	06/24/70	Great Britain				
	AQ	CH 500194	01/29/71	Switzerland				
	AR	GB 1221324	02/03/71	Great Britain				
	AS	GB 1225053	03/17/71	Great Britain				
	AT	DE 1914456	06/16/71	Germany				
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	AV	GB 1268243	03/22/72	Great Britain				
	AW	NL 7807819	01/23/80	Netherlands				
	AX	EP 0007070 A1	01/23/80	Europe				

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	AY	CA 1090797	12/02/80	Canada				
	AZ	AU 515236B (B2)	03/26/81	Australia				
	BA	GB 1599705	10/07/81	Great Britain				
	BB	DE 3315106 A1	11/03/83	Germany				
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	BG	EP 0174118	03/12/86	Europe				
	BH	SU 1238732 A3	06/15/86	USSR				
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	BK	EP 0285287	10/05/88	Europe				
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	BS	WO 9605194	02/22/96	WIPO				
	BT	WO 9633993	10/31/96	WIPO				
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	BV	WO 9840385	09/17/98	WIPO				
	BW	EP 0987235 A1	03/22/00	Europe				
	BX	EP 1074549 A2	02/07/01	Europe				

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							Yes	No
	BY	EP 1074549 B1	02/07/01	Europe				
	BZ	WO 0240471	05/23/02	WIPO				
	CA	WO 02074746	09/26/02	WIPO				
	CB	JP 076413	12/03/02	Japan				
	CC	WO 03086306 A2	10/23/03	WIPO				
	CD	EP 1074549 B1	11/19/03	Europe				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	CE	Baindur, et al., "(±)-3-allyl-7-halo-8-hydroxy-1-phenyl-2,3,4,5-tetrahydro-1H-3-benzazepines as Selective High Affinity D1 Dopamine Receptor Antagonists: Synthesis and Structure-Activity Relationship", J. Med. Chem., 35:67-72 (1992)
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	CL	Deady, et al., "Synthesis of Some Tetrahydro-2- and 3-benzazepines, and of Hexahydro-3-benzazocine", JCS Perkin I, 782-3 (1973)
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	CO	Draper, et al., "Novel Stereoselective Syntheses of the Fused Benzazepine Dopamine D ₁ Antagonist (6a <i>S</i> , 13b <i>R</i>)-11-chloro-6, 6a,7,8,9, 13b-hexahydro-7-methyl-5 <i>H</i> -benzo[<i>d</i>]naphth[2, 1- <i>b</i>]azepin-12-ol (Sch 39166): 1. Aziridinium Salt Based Syntheses", Organic Process Research & Development, 2(3):175-85 (1998)
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	CS	Gerritz, et al., "Two General Routes to 1,4-disubstituted-2,3,4,5-tetrahydro-1 <i>H</i> -3-benzazepines", Organic Letters, 2(25):4099-102 (2000)
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	CV	Halford, et al., "o-phenylenediacetamide and Other Compounds Related to 3,1 <i>H</i> -benzazepine", J. Org. Chem. 17:1646-52 (1952)
	CW	Hasan, et. al., "Syntheses of N-chloroacyl-β-phenylethylamine Derivatives", Indian J. Chem., 9:1022-4 (1971)
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	CY	Heys, et al., "A New Entry into C7-Oxygenated Tetrahydro-1 <i>H</i> -3-benzazepines: Efficient Labeling with Carbon-14 in the Benzo Ring", J. Org. Chem., 54(19):4702-6 (1989)
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	DA	Klohr, et al., "An Intramolecular Photocyclization to Form the Azepino[3,4,5- <i>cd</i>]Indole System", Synthetic Communications 18(7):671-4 (1988)
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	DF	Macdonald, et al., "Design and Synthesis of <i>trans</i> -3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1 <i>H</i> -3-benzazepine (SB-414796): A Potent and Selective Dopamine D ₃ Receptor Antagonist", J. Med. Chem., 46(23):4952-64 (2003)
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	DI	Neumeyer, et al., "Development of a High Affinity and Stereoselective Photoaffinity Label for the D-1 Dopamine Receptor: Synthesis and Resolution of 7-[¹²⁵ I]Iodo-8-hydroxy-3-methyl-1-(4'-azidophenyl)-2,3,4,5-tetrahydro-1 <i>H</i> -3-benzazepine", J. Med. Chem., 33(2):521-6 (1990)
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	DK	Orito, et al., "Synthetic Studies of Heterocyclic Compounds I: Alkylation and Acylation of 1, 2, 4, 5-tetrahydro-3-methyl-3 <i>H</i> -3-benzepin-2-one", Bulletin of the Faculty of Engineering, Hokkaido University (Hokkaido Kogakubu Kenkyu Hokuko), 96(54):41-4 (1979)
	DL	Orito, et al., "Total Synthesis of Pseudo Type of Protopine Alkaloids", Heterocycles, 14(1):11-4 (1980)
	DM	Orito, et al., "Benzolactams-I: Alkylation of 1,2,4,5-Tetrahydro-3-methyl-3 <i>H</i> -3-benzazepin-2-one with Sodium Hydride and Alkyl Halide", Tetrahedron 36(8):1017-21 (1980)
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	DR	Pfeiffer, et al., "Dopaminergic Activity of Substituted 6-chloro-1-phenyl-2,3,4,5-tetrahydro-1 <i>H</i> -3-benzazepines", J. Med. Chem., 25(4):352-8 (1982)
	DS	"Remington's Pharmaceutical Sciences" 17 th ed., Mack Publishing Company, Easton Pa.: 1418 (1985)
	DT	Schlademan, et al., "Synthesis of 1-oxo- and 1-hydroxy-azabenzocycloalkanes", J.C.S. Perkin I, 2:213-5 (1972)
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	DV	Vanderlaan, et al., "Synthesis and Oxidative Coupling of (\pm)-3-oxoreticuline", J. Org. Chem., 50(6):743-7 (1985)
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	DY	Yasuda, et al., "A Novel and Stereoselective Synthesis of (±)-cephalotaxine and its Analogue", Tetrahedron Letters, 27(18):2023-6 (1986)
	DZ	Yonemitsu, et al., "Photocyclization of Pharmacodynamic Amines. IV. Novel Heterocycles from N-chloroacetyl-3,4-dimethoxyphenethylamine", Journal of the American Chemical Society, 92(19):5686-90 (1970)
	EA	Yonemitsu, et al., "Photolysis of N-chloroacetyl-O-methyl-L-tyrosine to an Azaazulene", Journal of the American Chemical Society, 89(4):1039-40 (1967)
	EB	Yonemitsu, et al., "Photocyclizations of Tyrosines, Tyramines, Catecholamines, and Normescaline", Journal of the American Chemical Society, 90(3):776-84 (1968)
	EC	Yonemitsu, et al., "Photocyclization of Pharmacodynamic Amines. II. X-Ray Analysis of a Noncentrosymmetric Tetracyclic Indole", Journal of the American Chemical Society, 90(23):6522-3 (1968)

*Cited Reference is excessively voluminous; kindly request delivery if desired.

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